actitioner's Docket: 2003CH008

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Bernard DANNER

Serial No.

10/575,153

Art Unit: 1615

Filed:

April 6, 2006

Examiner: to be assigned

For:

Multiple Quartenary Polysiloxanes

Commissioner for Patent P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

## CERTIFICATION UNDER 37 C.F.R. Sections 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

#### **MAILING**

<b>(</b> 1)	deposited with the United States Postal Service in Box 1450, Alexandria, VA 22313-1450.	an envelope addi	ressed to the Commissioner for Patents, P.O.
	37 C.F.R. section 1.8(a)		37 C.F.R. section 1.10*
V	with sufficient postage as first class mail.	[]	as "Express Mail Post Office to Address"  Mailing Label No (mandatory)
	TRA	NSMISSION	
[]	transmitted by facsimile to the Patent and Tradem	ark Office (703)	
Date:	July 27, 2007	Signa	askie L. Kin
		(type or	JACLIC L. WISE r print name of person certifying)

\*WARNING:

Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. section 1.10(b).

"Since the filing of correspondence under [section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

Applicant: DANNER Serial No. 10/575,153 Page 2

### Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1.	<ul> <li>Enclosures accompanying this Information Disclosure Statement are:</li> <li>1a.</li></ul>
	1d.
2.	This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3.	This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4.	This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5.	This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6.	This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.

Applicant: DANNER Serial No. 10/575,153 Page 3

7. The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.

Respectfully submitted,

Tod A. Waldrop

Registration No. 56,260

## **CUSTOMER NO. 25,255**

Clariant Corporation Industrial Property Department 4000 Monroe Road Charlotte, North Carolina 28205 Telephone: 704/331-7732

Telephone: 704/331-7732 Facsimile: 704/331-7707

PTO/SB/08A (08-03)
Approved for use through 07/31/2006. OMB 0651 0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**Application Number** 

Attorney Docket Number

Substitute for Form 1449/PTO

Sheet

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of

1

Complete if Known

10/575,153

2003CH008

U.S. PATENT DOCUMENTS Publication Date MM-DD-YYYY Name of Patentee or Pages, Columns, Lines, Where Examiner Cite **Document Number** Relevant Passages or Relevant Figures Appear Applicant of Cited Document Initials1 No. Number - Kind Code 2 (If known) AA US 4,533,714 06-08-1985 Sebag 06-05-1986 Sebag US 4,587,321 AB AC US 4,680,366 07-14-1987 Tanaka 05-23-1989 Schaefer AD US 4,833,225 ΑE US 4,891,166 01-02-1990 Schaefer 06-18-1991 Tanaka AF US 5,025.076 Kirk 12-24-1991 AG US 5,075,403 AΗ US 5,618,525 04-08-1997 Buenning ΑI US 5,725,736 03-10-1998 Schroeder, et al. 10-17-2000 Leverett AJ US 6,132,739 AK US 6,482,969 11-19-2002 Helmrick, et al. 07-15-2004 Lange, et al. US 2004-138400 AL Danner AM US 2004-236055 11-25-2004 AN US 2005-169878 08-04-2005 Elder 11-17-2005 Meder AO US 2005-255075 AP US 2007-041930 02-22-2007 Meder, et al. AQ AR

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>8</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.0, Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PT0-9199 (1-800-786-9199) and select option 2.

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651 0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Cubatituda 6	or Form 1449/PTO			Comp	Complete if Known		
Substitute it	or Form 1449/F10			Application Number	10/575,153		
INEOD	MATION [	Nec.	OSLIDE	Filing Date	April 6, 2006		
	EMENT BY			First Named Inventor	Danner		
				Art Unit	1615		
(U	se as many sheets	as neces	sary)	Examiner Name	TBD		
Sheet	2	of	3	Attorney Docket Number	2003CH008		

Examiner	Cite	Foreign Document Number		Name of Patentee or Applicant of Cited Document	Translation	T <sup>6</sup>
nitials*	No. 1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>6 (ff known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Translation	Ľ
	ВА	DE 37 19 086 A	10-27-1988	Goldschmidt	See AD above	
	BB	DE 198 17 776	10-28-1999	Wacker Chemie	See CA below	
	ВС	DE 100 04 321 A	08-09-2001	Wacker Chemie	See CB below	
	BD	DE 102 14 290 A	10-09-2003	GE Bayer Silicone	See CC below	
	BE	EP 282 720 B1	09-21-1988	Goldschmidt	See AE above	
	BF	EP 1 512 391 A	03-09-2000	Kao Corp	English	
	BG	JP 09-194335	07-29-1997	Shin Etsu	See CD below	
	ВН	JP 11-012152	01-19-1999	Toshiba Silicone	See CE below	
	ВІ	WO 00/12053	03-09-2005	Amway	English	
	BJ	WO 02/10259 A	02-07-2002	Sockel	See AL above	
	вк	WO 03/029351 A	04-10-2003	Chrobaczek	English	
	BL	WO 03/035721 A1	05-01-2003	Helmrick	English	
	ВМ	WO 03/080007 A	10-02-2003	Elder	English	
	BN	WO 2005/035628	04-21-2005	Danner	English	
	во					
	BP					
	BQ				i	
	BR					
	BS					
	ВТ					
	BU	·				
	BV					
	BW					
	вх					
						1
					* · · · · · · · · · · · · · · · · · · ·	
						$\perp$

Examiner Signature	Date Considered	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PT0-9199 (1-800-786-9199) and select option 2.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>9</sup>Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Subatituda fa	r Form 1449/PTO			Comp	olete if Known		
Substitute to	1 FORM 1449/F10			Application Number	10/575,153		
INFOR	MATION I	DISCL C	CHDE	Filing Date	April 6, 2006		
				First Named Inventor	Danner		
	MENT BY		•	Art Unit	1615		
(Us	e as many sheets	s as necessa	ry)	Examiner Name	TBD		
Sheet	3	of	3	Attorney Docket Number	2003CH008		

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	CA	English Language Abstract of DE 198 17 776, 10-28-1999	
	СВ	English Language Abstract of DE 100 04 321 A, 08-09-2001	
	СС	English Language Abstract of DE 102 14 290 A, 10-09-2003	
	CD	English Language Abstract of JP 09-194335, 07-29-1997	
	CE	English Language Abstract of JP 11-012152, 01-19-1999	
	CF	PCT Search Report for application no. PCT/IB 2004/003220, mail dated 11/23/2004	
	CG	PCT International Preliminary Examination Report for application no. PCT/IB 2004/003220, dated 08/23/2005	
	СН	PCT Written Opinion of the International Searching Authority for application No. PCT/IB 2004/003220	
8	СІ	Co-pending US Application 11/487,271, Klug, et al., filed 07/14/2006	
	CJ		
	СК		
	CL	-	
	СМ	·	
Examiner		Date	

Examiner	Date	
Signature	 Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance annot considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O., Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.